

## Santander Sharedealing

### Permitted Investments List

This document details the permissible investments which can be bought and sold through Santander Sharedealing.

#### Stocks and shares

Stocks and shares that are listed, or dealt in, on:

- The London Stock Exchange or the Alternative Investment Market (AIM) or ISDX or the Irish Stock Exchange.
- The US NYSE or the NASDAQ exchange, or
- The Canadian TSX exchange and TSX Ventures exchange, or
- Major European Markets:
  - Belgium – Brussels Euronext
  - Finland – OMX Nasdaq
  - France – Paris Euronext
  - Germany – Frankfurt Xetra
  - Netherlands – Amsterdam Euronext
  - Norway – Oslo Exchange
  - Portugal – Lisbon Euronext
  - Spain – Madrid
  - Sweden – Stockholm
  - Switzerland – SIX Swiss Exchange

This covers most transferable securities, including:

- Shares in companies (equities)
- Fixed income securities issued by government or other bodies
- Debenture stock and other loan stock
- Warrants (for equities) and Covered Warrants
- Permanent Interest Bearing Shares (PIBS)
- Convertible securities
- Exchange Traded Funds (ETFs)/ Exchange Traded Commodities (ETCs)
- Investment trusts

#### Investment Trusts

Investment Trusts that are listed, or dealt in, on stock exchanges as listed on the left.

## Important Notes

- It is the Client and their Financial Adviser's responsibility to ensure any investments purchased are permissible. In particular, if any investment/ asset is purchased through a SIPP and is deemed to be a taxable asset under pension legislation or purchased through an ISA and deemed to not be a permitted investment under ISA regulations, any subsequent tax liability incurred will be the Client's responsibility.
- James Hay Partnership is not regulated to provide investment advice. The Client is responsible, in conjunction with their Financial Adviser, for choosing investments that are suitable for their individual circumstances.
- All investment transactions must be carried out on a commercial basis.
- James Hay Partnership reserves the right to decline making an investment for any reason it deems appropriate, including where it may deem the asset to be a non-readily realisable investment.
- The tax treatment depends on the individual circumstances and may be subject to change in the future.

James Hay Partnership is able to provide literature in alternative formats. The formats available are: Large Print (as recommended by RNIB), Braille, Audio Tape and PC Disk. If you would like to receive this document in an alternative format please contact us on 0845 850 4455. For the hard of hearing and / or speech impaired, please use the Ttypetalk service via 18001 0845 850 4455.

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