Modular ISA (Stocks and Shares) and Wrap ISA (Stocks and Shares)

JAMES HAY PARTNERSHIP

Supplementary ISA Subscription Form

for Modular ISA and Wrap ISA

JHAY0689

Application guide

Please complete this form if you wish to make single subscriptions, or start regular subscriptions, to your James Hay Modular ISA or Wrap ISA. If you wish to amend an existing regular ISA subscription, please complete the 'ISA Subscription Amendment Form' available on our website at www.jameshay.co.uk.

Any money received into your ISA will be applied to your ISA bank account pending any investment instructions.

Important note: Your James Hay ISA is defined as a Stocks and Shares ISA under ISA regulations. If you are transferring a Stocks and Shares ISA from another provider that contains subscriptions for the current tax year, you will not be able to subscribe to your James Hay ISA until the transfer has fully completed. This may impact your ability to fully utilise your annual ISA allowance if you are transferring current year Stocks and Shares ISA subscriptions to James Hay close to the end of the tax year.

Please complete this form in BLOCK CAPITALS and black ink and return it to James Hay Partnership, Dunn's House, St Paul's Road, Salisbury, SP2 7BF. If you require any assistance please call your Service Executive Team or our general enquiry number 03455 212 414.

Please fully complete each section of this form, as we may not be able to process your instruction without this information.

1 Personal details

Applicant to complete

Title

Forename(s)

Surname

Date of birth D D M M Y Y Y Y

Modular ISA or Wrap ISA number

Permanent residential address

Postcode

Phone

Email

National Insurance number

OR I have never had a National Insurance number

2 Payment details

Applicant to complete

I apply to subscribe for a Stocks and Shares ISA for the current **2020/21** tax year and each subsequent tax year until further notice.

Lump sum payments

Lump sum subscription amount £

Please tick as appropriate:

I wish to pay for my subscription from the bank account associated with my Modular GIA or Wrap Investment Portfolio.

Modular GIA or Wrap Investment Portfolio number

Regular subscription payments

I wish to pay for my subscription by regular monthly payments of the following amount £

Date I wish the Direct Debit to start D D M M Y Y Y Y

2 Payment details (cont.)

This will be the day that the payment is taken each month, and can only be between the 1st and 28th day of any month. Please complete the Direct Debit instruction at the back of this application form, and allow 10 business days for James Hay to set up the Direct Debit.

Please note: For the 2020/21 tax year, the ISA allowance is £20,000. You can split the amount you pay into an ISA between a Cash ISA, a Stocks and Shares ISA and an Innovative Finance ISA as you choose, up to the £20,000 limit.

3 Declaration

Applicant to complete

I declare that:

- all subscriptions made, and to be made, belong to me
- I am 18 years of age or over
- I have not subscribed, and will not subscribe, more than the overall subscription limit in total to a Cash ISA, a Stocks and Shares ISA and an Innovative Finance ISA in the same tax year
- I have not subscribed, and will not subscribe, to another Stocks and Shares ISA in the same tax year that I subscribe to this Stocks and Shares ISA
- I am resident in the United Kingdom for tax purposes or, if not, I either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pension) Act 2003 (Crown employees serving overseas) are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform James Hay if I cease to be resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise James Hay Wrap Managers Limited as the ISA Manager to:

- hold my cash subscriptions, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
- make on my behalf any claims to relief from tax in respect of ISA investments.

I declare that to the best of my knowledge and belief, the particulars given on the application form are correct and complete and I undertake to inform James Hay, without delay, if there are any changes in the information contained in this form.

I accept it is a serious offence to make false statements and that the penalties are severe and could lead to prosecution.

Applicant signature

Date

D D M M Y Y Y Y

Please note that this form must be signed and dated within the tax year in which you are subscribing.

We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Typetalk service on 18001 03455 212 414).

James Hay Partnership is the trading name of James Hay Services Limited (JHS) (registered in Jersey number 77318); IPS Pensions Limited (IPS) (registered in England number 2601833); James Hay Administration Company Limited (JHAC) (registered in England number 4068398); James Hay Pension Trustees Limited (JHPT) (registered in England number 1435887); James Hay Wrap Managers Limited (JHWM) (registered in England number 4773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England number 7259308); PAL Trustees Limited (PAL) (registered in England number 1666419); Santhouse Pensioneer Trustee Company Limited (SPTCL) (registered in England number 1670940); Sarum Trustees Limited (SarumTL) (registered in England number 1003681); Sealgrove Trustees Limited (STL) (registered in England number 1444964); The IPS Partnership Plc (IPS Plc) (registered in England number 1458445); Union Pension Trustees Limited (UPT) (registered in England number 2634371) and Union Pensions Trustees (London) Limited (UPTL) (registered in England number 1739546). JHS has its registered office at 2nd Floor, Gaspé House, 66-72 Esplanade, St Helier, Jersey, JE1 1GH. IPS, JHAC, JHPT, JHWM, JHWNC, SPTCL, SarumTL, IPS Plc, PAL, STL, UPT and UPTL have their registered office at Dunn's House, St Paul's Road, Salisbury, SP2 7BF. JHAC, JHWM, IPS and IPS Plc are authorised and regulated by the Financial Conduct Authority. The provision of Small Self Administered Schemes (SSAS) and trustee and/or administration services for SSAS are not regulated by the FCA. Therefore, IPS and IPS Plc are not regulated by the FCA in relation to these schemes or services. (04/19)

JAMES HAY PARTNERSHIP

DIRECT Debit

Wrap ISA or Modular ISA

Instruction to your Bank or Building Society to pay by Direct Debit

Please fill in the whole form using a ball point pen and send it to:

James Hay Wrap Managers Limited
Dunn's House
St Paul's Road
Salisbury
SP2 7BF

Service User Number

8	8	0	1	2	3

Name(s) of Account Holder(s)

Reference

Bank/Building Society Account Number

Branch Sort Code

Instruction to your Bank or Building Society
Please pay James Hay Wrap Managers Limited Direct Debits from the account detailed in this Instruction subject to the safeguards assured by the Direct Debit Guarantee

I understand that this Instruction may remain with James Hay Wrap Managers Limited and, if so, details will be passed electronically to my bank/building society.

Name and full postal address of your Bank or Building Society

To: The Manager Bank/Building Society

Address

Postcode

Signature(s)

Date

Banks and Building Societies may not accept Direct Debit Instructions for some types of account

This guarantee should be detached and retained by the Payer

The Direct Debit Guarantee

DIRECT Debit

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits.
- If there are any changes to the amount, date or frequency of your Direct Debit James Hay Wrap Managers Limited will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request James Hay Wrap Managers Limited to collect a payment, confirmation of the amount and date will be given to you at the time of the request.
- If an error is made in the payment of your Direct Debit, by James Hay Wrap Managers Limited or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society.
  - If you receive a refund you are not entitled to, you must pay it back when James Hay Wrap Managers Limited asks you to.
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.