

Confirmation of promotion and recommendation to invest in Non-Mainstream Pooled Investment (NMPI)



1 Member details

Financial adviser to complete

Member name

Member number

Name of proposed Non-Mainstream Pooled Investment (NMPI)

I confirm in respect of the above proposed NMPI for the above named Member that I have:

- promoted the investment to the Member in accordance with the Financial Conduct Authority's (FCA) Conduct of Business Sourcebook (COBS) rules specified in COBS 4.12; and
- advised the Member to proceed with the investment.

Signed by

Name

Date

Name of firm

Firm's FCA authorisation number

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