

Appointment of Financial Adviser Form



Application guide

This form should be completed if you wish to appoint a Financial Conduct Authority (FCA) regulated financial adviser.

If you have agreed with your financial adviser that they may take an adviser charge from your product(s), please also complete the Adviser Charges Form for your product(s). You can obtain a copy of this form from our website at www.jameshay.co.uk.

Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay Partnership, Dunn's House, St Paul's Road, Salisbury, SP2 7BF. If you need any help to complete this form, please call our Customer Support Team or our general enquiry number 03455 212 414.

1 Personal details

Applicant to complete

Full name

Product number(s)

Please detail all product numbers this authority applies to.

Permanent residential address

Postcode

Is the address provided above your new address? Yes No

If **Yes**, please confirm your previous address below.

Previous address

Postcode

2 Financial adviser's details

Applicant to complete

Company name

Network name (if applicable)

Contact name

FCA firm reference number

Address

Postcode

Telephone

Email

PLEASE NOTE: Your financial adviser will need to sign up to our Financial Adviser Terms of Business. This form is available from our website at www.jameshay.co.uk.

I hereby declare as follows:

I agree to the appointment of the financial adviser specified above.

I confirm the above named financial adviser firm is replacing any previous financial adviser appointed to my product(s). This authority will remain in force until I notify you otherwise in writing.

I accept that by appointing a financial adviser, you will send correspondence to my financial adviser unless I have requested otherwise or if I cease to use the services of my financial adviser.

I give my authority for you to accept investment and disinvestment instructions from my financial adviser.

If you hold a Modular iPlan or Wrap, this change of agency will be applied to all underlying products within the group. If you hold more than one product which is not held under a single Modular iPlan or Wrap group then this change of agency will only be applied to the specific plan numbers stated above.

I request the scheme administrator to act on instructions in accordance with the provisions of the product(s) held with James Hay Partnership, until otherwise instructed.

Client signature

Date

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We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Typetalk service on 18001 03455 212 414).

James Hay Partnership is the trading name of Nucleus Group Services Limited (NGSL) (registered in England number 02538532); James Hay Services Limited (JHS) (registered in Jersey number 77318); IPS Pensions Limited (IPS) (registered in England number 2601833); James Hay Administration Company Limited (JHAC) (registered in England number 4068398); James Hay Pension Trustees Limited (JHPT) (registered in England number 1435887); James Hay Wrap Managers Limited (JHWM) (registered in England number 4773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England number 7259308); PAL Trustees Limited (PAL) (registered in England number 1666419); Sarum Trustees Limited (SarumTL) (registered in England number 1003681); The IPS Partnership Plc (IPS Plc) (registered in England number 1458445); Union Pension Trustees Limited (UPT) (registered in England number 2634371). JHS has its registered office at Aztec Group House, 11-15 Seaton Place, St Helier, Jersey, JE4 0QH. NGSL, IPS, JHAC, JHPT, JHWM, JHWNC, PAL, SarumTL, IPS Plc, and UPT have their registered office at Dunn's House, St Paul's Road, Salisbury, SP2 7BF. JHAC, JHWM, IPS and IPS Plc are authorised and regulated by the Financial Conduct Authority. (2/23)