

## Director's Statement (Unquoted Shares)

(For Modular iSIPP, Partnership SIPP, IPS SIPP, IPS (2008) SIPP, IPS Pension Builder SIPP and IPS Family SIPP only)



### Application guide

It is a requirement for the SIPP investment that this form is completed by a director of the company and signed by two directors of the company.

This form is for top-ups to existing investments only as new unquoted share investments are not permitted.

Please note: if you disclose personal information about a third party, please ensure you have their permission and have informed them of the purposes for which their information will be processed, before doing so.

Please complete this form in BLOCK CAPITALS and black ink and return it to James Hay Partnership, Dunn's House, St Paul's Road, Salisbury, SP2 7BF.

### 1 Company details

Director to complete

Company name

Please describe the business operation and the market sector it operates/will operate in.

Please provide an overview of the marketing strategy for the company shares and what type of investors are being targeted.

What is the prime objective for the company in the sale of its shares?

How was the share price arrived at?

We may need information regarding the shareholding and copies of any publicly available valuations in the future. Please confirm that you will assist with any requests for information and provide a contact name, address, telephone number and email address that we can use.

## 2 Declaration

Director to complete

I confirm:

(a) that the information given above is factually accurate to the best of my knowledge. I accept that this information may be shared with HM Revenue & Customs if requested.

(b) that the business is not involved in any activity that could be construed by HM Revenue & Customs as being for the purpose of pension liberation.

(c) that to the best of my knowledge there is nothing about the company's business activities that could conflict with the shares being held within a SIPP.

(d) that the Directors will provide all information reasonably requested by James Hay Partnership including, but not limited to, evidence of share valuation and ownership.

Signature

Print name

Date

D	D	M	M	Y	Y	Y	Y
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Signature

Print name

Date

D	D	M	M	Y	Y	Y	Y
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We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Typetalk service on 18001 03455 212 414).

James Hay Partnership is the trading name of Nucleus Group Services Limited (NGSL) (registered in England number 02538532); James Hay Services Limited (JHS) (registered in Jersey number 77318); IPS Pensions Limited (IPS) (registered in England number 2601833); James Hay Administration Company Limited (JHAC) (registered in England number 4068398); James Hay Pension Trustees Limited (JHPT) (registered in England number 1435887); James Hay Wrap Managers Limited (JHWM) (registered in England number 4773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England number 7259308); PAL Trustees Limited (PAL) (registered in England number 1666419); Sarum Trustees Limited (SarumTL) (registered in England number 1003681); The IPS Partnership Plc (IPS Plc) (registered in England number 1458445); Union Pension Trustees Limited (UPT) (registered in England number 2634371). JHS has its registered office at Aztec Group House, 11-15 Seaton Place, St Helier, Jersey, JE4 0QH. NGSL, IPS, JHAC, JHPT, JHWM, JHWNC, PAL, SarumTL, IPS Plc, and UPT have their registered office at Dunn's House, St Paul's Road, Salisbury, SP2 7BF. JHAC, JHWM, IPS and IPS Plc are authorised and regulated by the Financial Conduct Authority. (2/23)