

# Confirmation of Verification of Identity Private Individual

Introduction by an FCA-regulated firm



JHAY0248

## Explanatory notes

This form should be completed by a Financial Conduct Authority (FCA) approved financial adviser or a representative of the introducing firm.

A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must also be verified, and a confirmation provided.

This form cannot be used to verify the identity of any customer that falls into one of the following categories:

- Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification, **or**
- Those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations, **or**
- Those whose identity has been verified using the source of funds as evidence.

This confirmation must carry an original signature.

**Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB. If you require any assistance, please call your Customer Support Team or our general enquiry number 03455 212 414.**

## 1 Details of individual

Full name of customer	<input type="text"/>
Current address	<input type="text"/>
	<input type="text"/>
	<input type="text"/>
	Postcode
Date of birth	<input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/>
Previous address details if individual has changed address in the last 18 months	<input type="text"/>
	<input type="text"/>
	Postcode

## 2 Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)	<input type="text"/>
FCA reference number	<input type="text"/>

I/We confirm that:

- (a) The information in Section 1 above was obtained by me/us in relation to the customer;
- (b) The evidence I/we have obtained to verify the identity of the customer: (tick only one)

- meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG, **or**
- exceeds the standard evidence.

Copies of the underlying evidence taken in relation to the verification of the customer’s identity will, on request from you (or from UK law enforcement agencies or regulators under court order or relevant mutual assistance procedure), be made available, to the extent that we are required under local law to retain these records.

Name

Signature

Position

Date 

D	D	M	M	Y	Y	Y	Y
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