



JHAY0217

Application Guide

Please complete this form in BLOCK CAPITALS and black ink.

1

Applicant to complete

Account number

I (print name)

authorise (print name)

whose details appear below, to issue instructions in connection with the buying and selling of shares and securities and the receipt of dividends, rights and other proceeds ('Sharedealing Instructions'), on my behalf in connection with my sharedealing account ('Sharedealing Account').

I accept that I will be liable, or in the case of my Pension Plan that the SIPP will be liable, for transactions resulting from Sharedealing Instructions issued by the Financial Adviser on my behalf and that neither the Authorised Financial Adviser nor Abbey Stockbrokers Limited (ASL) shall be liable for any losses or debts incurred.

This authority will remain in force until revoked in writing by the above named account holder.

I hereby authorise ASL to accept Sharedealing Instructions for my Sharedealing Account from the above Financial Adviser, and to release information to him/her regarding my Sharedealing Account as requested.

I confirm that this authorisation does NOT allow the Financial Adviser to make any cash/stock withdrawals or transfers from my Sharedealing Account.

Client signature

Date

D	D	M	M	Y	Y	Y	Y
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Trustee signature

(for office use only)

Date

D	D	M	M	Y	Y	Y	Y
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(for office use only)

2

Financial Adviser to complete

Financial Adviser's name

Name of organisation

Address

Post Code

Please tick the following authorisation required:

- Place Dealing instructions
- Receive copy contract notes
- Place corporate action instructions
- Access to Sharedealing Account information

Persons within Financial Adviser's organisation authorised to give sharedealing instructions.

Name	Position

PLEASE NOTE: Abbey Sharedealing will only accept dealing instructions from staff who are personally registered with the FSA.

James Hay is able to provide literature in alternative formats. The formats available are: Large Print (as recommended by RNIB), Braille, Audio Tape and PC Disk. If you would like to receive this document in an alternative format please contact us on 0845 850 4455. For the hard of hearing and / or speech impaired, please use the Tynetalk service via 18001 0845 850 4455.

The Sharedealing Service for the James Hay Wrap Service is provided by Abbey Stockbrokers Limited (ASL). ASL is a wholly owned subsidiary of Santander UK plc. and is authorised and regulated by the Financial Services Authority (FSA) and is a member of the London Stock Exchange (LSE). ASL Registered office: Kingfisher House, Radford Way, Billericay, Essex, CM12 0GZ. Registered in England number 02666793. ASL is entered on the FSA Register and its Registration number is 154210.

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