

## Director's Statement (Unquoted Shares)

(For Partnership SIPP, IPS SIPP, IPS (2008) SIPP, IPS Pension Builder SIPP, IPS Family SIPP and Other James Hay SIPPs only)



### Application guide

It is a requirement for the SIPP investment that this form is completed by a director of the company and signed by two directors of the company.

This form is for top-ups to existing investments only as new unquoted share investments are not permitted.

Please note: if you disclose personal information about a third party, please ensure you have their permission and have informed them of the purposes for which their information will be processed, before doing so.

Please complete this form in BLOCK CAPITALS and black ink and return it to James Hay, Suite 202 Warner House, 123 Castle Street, Salisbury, SP1 3TB.

### 1 Company details

Director to complete

Company name

Please describe the business operation and the market sector it operates/will operate in.

Please provide an overview of the marketing strategy for the company shares and what type of investors are being targeted.

What is the prime objective for the company in the sale of its shares?

How was the share price arrived at?

We may need information regarding the shareholding and copies of any publicly available valuations in the future. Please confirm that you will assist with any requests for information and provide a contact name, address, telephone number and email address that we can use.

## 2 Declaration

Director to complete

I confirm:

(a) that the information given above is factually accurate to the best of my knowledge. I accept that this information may be shared with HM Revenue & Customs if requested.

(b) that the business is not involved in any activity that could be construed by HM Revenue & Customs as being for the purpose of pension liberation.

(c) that to the best of my knowledge there is nothing about the company's business activities that could conflict with the shares being held within a SIPP.

(d) that the Directors will provide all information reasonably requested by James Hay Partnership including, but not limited to, evidence of share valuation and ownership.

Signature

Print name

Date

D	D	M	M	Y	Y	Y	Y
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Signature

Print name

Date

D	D	M	M	Y	Y	Y	Y
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We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Typetalk service on 18001 03455 212 414).

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