



JHAY0673

Application Guide

Please only complete this form if you have appointed an FSA regulated Financial Adviser who is going to receive product level remuneration from your eSIPP.

If your Financial Adviser wishes to take remuneration on your investments the relevant investment sections of the Investment Centre Buy Form must be completed instead.

Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay Wrap, Dunn's House, St Paul's Road, Salisbury, SP2 7ZT.

If you need any help to complete this form please call us on 0845 850 4455.

1 Personal Details

Applicant to complete

Full client name

Financial Adviser company name

SIPP number

Contact name

Where the eSIPP is being funded by a net contribution made by, or on behalf of the member, how does the IFA wish to base their initial remuneration?

Net amount

OR Gross amount

Contact telephone number

Contact telephone number

2 Product Level Initial Remuneration

Applicant to complete

A maximum of 3% initial remuneration will be applied.

Option One

Percentage

 %

of each new contribution and new pension transfer received

OR

Option Two

Fixed Amount

 £

3 Product Level Recurring remuneration

Applicant to complete

A maximum of 3% recurring remuneration will be applied. This is in addition to any Investment Centre recurring remuneration paid by James Hay.

Option One

Percentage

 %

of SIPP value per annum

Please tick which categories of assets that you wish to exclude, if any:

Investment Centre Funds

Investments purchased through Abbey Stockbrokers Limited

Cash in the SIPP

How frequently would you like your recurring remuneration paid?

Quarterly

Half yearly

Annually

If you do not select a frequency James Hay will pay your recurring remuneration quarterly.

OR

Option Two

Amount

 £

per annum

Client signature

Date

D	D	M	M	Y	Y	Y	Y
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Notes to Financial Adviser

Remuneration will be paid to the bank account detailed on the Application Form attached to the SIPP Financial Adviser Terms of Business. If you need to complete this form it is available at www.jameshay.co.uk

Details of when product level initial and recurring remuneration is paid by James Hay are set out in the SIPP Financial Adviser Terms of Business.

If the fixed amount of product level initial and/or recurring remuneration to be paid is more than 3% this may be queried with you.

James Hay is able to provide literature in alternative formats. The formats available are: Large Print (as recommended by RNIB), Braille, Audio Tape and PC Disk. If you would like to receive this document in an alternative format please contact us on 0845 850 4455. For the hard of hearing and / or speech impaired, please use the Tynetalk service via 18001 0845 850 4455.

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